

PATENT  
(Practitioner's Docket No. IN- 5513)

5A  
3/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Peter BETZ  
Wilfried STÜBBE  
Horst HINTZE-BRÜNING  
Heinz-Peter RINK

Serial No.: This application is a National  
Phase of Patent Application PCT/EP00/01932  
filed 06. March 2000.

Filed: August 28, 2001

For: SOL-GEL COATING FOR  
SINGLE-LAYER OR MULTI-LAYER  
VARNISHES

Group Art Unit: Not Assigned

Examiner: Not Assigned

I hereby certify that the attached correspondence is  
being deposited with the United States Postal Service in an  
envelope as "Express Mail Post Office to Addressee" Mailing  
Label No. ET115236178US addressed to the BOX PATENT  
APPLICATION, Commissioner for Patents, Washington,  
D.C. 20231 on 09/05/2001.

  
Marjorie Ellis

**PRELIMINARY AMENDMENT UNDER 37 CFR § 1.115**

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This preliminary amendment is submitted with the application for entry into the U.S.  
National Phase under Chapter II. This application is based on PCT/EP00/01932 filed on 6  
March 2000.

In connection with the filing of this National Phase application, please make the  
following preliminary amendments.

**IN THE CLAIMS:**

Please substitute claims 1-18 as follows. As required by 37 C.F.R. §1.121, marked  
copies of the claims showing amendments for claims 1-18 are listed below.